

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/841,301

04/24/2001

Harold J. Vinegar

5659-01800 TH1942

**CONFIRMATION NO. 4730** 

Date Mailed: 06/25/2001

**FORMALITIES LETTER** 

OC000000006224725°

**DEL CHRISTENSEN** SHELL OIL COMPANY P.O. BOX 2463 HOUSTON, TX 77252-2463

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/27/2001 MWDLDER1 00000167 501505

FILED UNDER 37 CFR 1.53(b)

01 FC:101

03 FC:105

710.00 CH 02 FC:103

1080.00 CH 130.00 CH Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$110510.
  - \$96750 for 5375 total claims over 20.

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- \$13760 for 172 independent claims over 3.
- The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 111350.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

TH1942

### TED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wellington, et al.

Serial No.:

09/841,301

Filed:

April 24, 2001

For:

IN SITU THERMAL PROCESSING

OF A HYDROCARBON

CONTAINING FORMATION

WITH A SELECTED HYDROGEN

CONTENT

Examiner:

Unknown

Group Art Unit:

3672

Atty. Docket. No.: 5659-01800/EBM

CERTIFICATE OF MAILING 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Attn: BOX MISSING PARTS, Washington, D.C. \$0231, on the date indicated below.

Elda Gonzalez

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -FILING DATE GRANTED, MAILED JUNE 25, 2001

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**ATTN: Box Missing Parts** Commissioner for Patents

Washington, D.C. 20231

Sir:

Applicant encloses the following in response to the Notice to File Missing Parts of Application - Filing Date Granted:

- Copy of Form PTO-1533, Notice to File Missing Parts Filing Date Granted (1 (1) page);
- Form PTO-1595 Assignment Recordation Cover Sheet (1 page); (2)
- (3) Originally executed Assignment (3 pages);
- (4) Originally executed Declaration (4 pages);
- Preliminary Amendment (51 pages); (5)
- (6) Marked Up Version of Amendments (42 pages);
- Marked Up Version of Amendments to Claims (1 page); (7)



- (8) Clean Version of Title Page (1 page);
- (9) Marked Up Version of Title Page (1 page);
- (10) Fee Authorization in the amount of \$2,070.00 to cover the filing fee for a small entity as follows (2 pages):
  - \$ 710.00 -- basic filing fee;
  - \$ 1,080.00 -- surcharge for excess claims;
  - \$ 130.00 -- surcharge for late filing of declaration and fee;
  - \$ 40.00 -- assignment recordation fee;
  - 110.00 one month extension of time fee; and
- (11) A return postcard.

Applicant respectfully requests a one-month extension of time to respond to the formalities letter mailed June 25, 2001. A fee authorization that includes \$110.00 for the one-month extension of time fee is enclosed.

If any further extension of time is required, Applicant hereby respectfully requests the appropriate extension of time. If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon P.c. Deposit Account Number 50-1505/5659-01800.

Respectfully submitted,

David W. Quimby

Reg. No. 39,338

Attorney for Applicant

CONLEY, ROSE & TAYON, P.C. P.O. BOX 398 AUSTIN, TX 78767-0398 (512) 476-1400 (voice) (512) 703-1250 (facsimile)

Date: Sept. 20, 2001



**PATENT** TH1942

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/841,301 § § Filed: April 24, 2001 Inventor(s): § Wellington, et al § § §

§ IN SITU THERMAL Title: § PROCESSING OF A § **HYDROCARBON** § **CONTAINING** § FORMATION WITH A §

SELECTED HYDROGEN **CONTENT** 

Examiner: Unknown Group/Art Unit: 3672

Atty. Dkt. No: 5659-01800

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8 DATE OF DEPOSIT:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to: Commissioner for Patents

Washington, DC 20231 (9. Elda Gonzalez

### **FEE AUTHORIZATION**

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Commissioner for Patents Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fees to Shell Oil Co. Deposit Account Number 19-1800:

\$ 710.00 - Basic Filing Fees;

\$1,080.00 - Excess Claims Fees;

\$ 130.00 - Surcharge for late filing of declaration and fee;

40.00 - Assignment Recordation Fee; and

\$ 110.00 – one month extension of time fee;

Total Amount: \$2,070.00

Attorney Docket No.: \_5659-01800



Wellington, et al. 09/841,301

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley Rose & Tayon P.C. Deposit Account Number 50-1505/5659-01800/EBM.

Respectfully submitted,

David W. Quinly

David W. Quimby

Reg. No. 39,338

Attorney for Applicant

CONLEY, ROSE & TAYON, P.C. P.O. BOX 398 AUSTIN, TX 78767-0398 (512) 703-1254 (voice) (512) 703-1250 (facsimile)

Date: <u>Sept. 20, 2001</u>